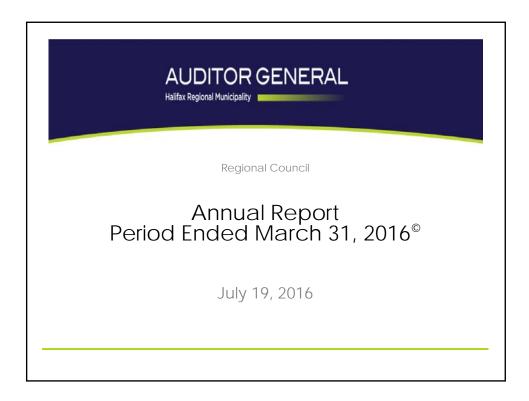
Re: Item No. 14.2.1







Summary

Report period April 1, 2015 -March 31, 2016

62 NeW recommendations to HRM Management

Five additional reports were released in 2015/2016 review period

All 62 new recommendations accepted by HRM Management

Total of 35 oag reports April 1, 2010

645 total recommendations

Recommendation Status Reporting

Since April 1, 2015 the OAG has completed and posted Risk Rankings for all 645 recommendations

As of March 31, 2016, nearly all published reports are in the follow-up process and management selfassessments, work plans and recommendation status reports are being discussed and reviewed



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Professional Standards

Focus on continuous improvement and adherence to professional standards Considerable time spent on development of and updating reference material, procedures manual, project guidelines and procedures for value for money and compliance

Active
Professional
Standards
committee

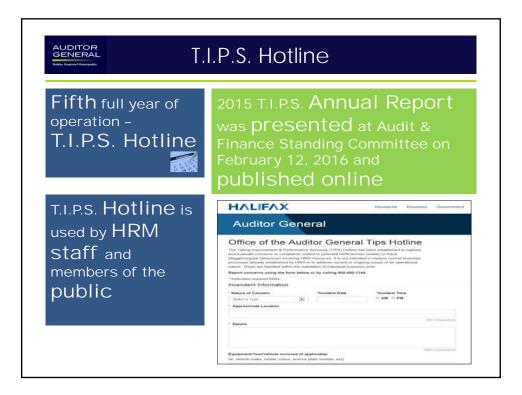
Training and development of new OAG staff members





OAG Resources

- > As of March 31, 2016, the OAG was staffed as follows:
 - Auditor General
 - Assistant Auditor General
 - Senior Associate (1)
 - Lead Associates (2)
 - Associates (2)
 - Researcher/Analyst
- ➤ Certifications include FCPA, FCA, CPA, CA, CMA, CIA, CRMA, MBA and ICD.D





Summary of Projects

Completed for the period of
April 1, 2015
to
March 31, 2016

Project Summary Table 1 – Summary of Projects Completed for the period April 1, 2015 to March 31, 2016 Report Title Synopsis of Objectives Date Recommendations To identify the internal controls in place to manage fuel inventory at Halifax Transit and areas where improvement is needed. To A Performance Review determine compliance with petroleum of Risk Management: March 2015 management legislation. To understand how 8 Fuel Spill at Halifax the environmental risks associated with Transit[©] petroleum at Halifax Transit were being managed. The main objective of this project was Compliance Review of compliance in nature. The focus was to Expenses: Mayor, verify adherence to the Employment Councillors, Senior April 2015 Expense Reimbursement Policy and the 7 Management and Staff, Purchasing Card Policy in place at the time of 2013/14[©] the expenditure. Performance Review of This review was to identify opportunities to Halifax Regional improve operational efficiency and Municipality's Building effectiveness of permit and inspection July 2015 15 Standards services provided through HRM's Building Permits and Standards Division. Inspections®



Project Summary

Report Title	Date	Synopsis of Objectives	Recommendation s
Follow-Up Review of Halifax Regional Municipality Overtime [©]	November 2015	To provide assurance as to the completion of the recommendations of the 2010 report 'Corporate Overtime – Risk and Opportunity – Phase I'. In addition, to review trends in overtime data and to provide follow-up commentary around the current use of overtime across HRM business units.	3 new (9 reissued)
A Performance Review of the Management of Halifax Regional Municipality's Reserve Funds [©]	November 2015	To verify whether procedures and controls around the management of reserve funds ensures an effective use and demonstrates good stewardship of funds.	29
		Total	62



Conclusion

See - Reflections on the Past Seven Years on page 6 for full commentary I would like to extend my personal thanks to

Mayor Savage and members of the Regional

Council as well as members of the previous Regional Council

Chairs & Vice-Chairs of the Audit & Finance Standing Committee CAO's, DCAO's, Directors and all HRM Staff

Special thank you to Councillor Gloria McCluskey for bringing forward and championing the OAG

To all who have worked at the OAG over the previous 6 years and to Andrea, Cindy, David M, David R, Jordie, Madelaine and Sara for a job very well done



Conclusion

See - Reflections on the Past Seven Years on page 6 for full commentary

I would like to extend my personal thanks to

Finally, thank you to the residents of HRM – it has been a privilege



Conclusion

Note

Due to all past reports having been published for some time now, the OAG would respectfully ask any specific questions on reports or processes to be directed to the office.

Thank you!